

FACTS**WHAT DOES SUPERIOR PLANNING, LLC DBA SUPERIOR PLANNING WEALTH MANAGEMENT & FAMILY OFFICE ("SPWMFO") DO WITH YOUR PERSONAL INFORMATION?**

WHY?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
WHAT?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> ▪ Social security number ▪ Assets ▪ Income ▪ Account balances ▪ Account transactions ▪ Wire transfer instructions 	
HOW?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons SPWMFO chooses to share; and whether you can limit this sharing.	
Reasons we can share your personal information	Does SPWMFO Share?	Can you limit this sharing?
For our everyday business purposes - such as to process your transactions, maintain your account(s) or respond to court orders and legal investigations.	Yes	No
For our marketing purposes - to offer our products and services to you	No	We don't share
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes - information about your transactions and experiences	No	We don't share
For our affiliates' everyday business purposes - information about your creditworthiness	No	We don't share
For nonaffiliates to market to you	No	We don't share
Questions?	Call (858) 546-1046	

Definitions	
Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ▪ <i>SPWMFO has an affiliation with BSA Financial and Insurance Services, Inc. dba Superior Planning Financial Solutions fka CALPRO Network Financial.</i>
Nonaffiliates or Third-Parties	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ▪ <i>While SPWMFO does have relationships with nonaffiliates or third-parties, SPWMFO only shares information with nonaffiliates or third-parties with your consent.</i>
Joint Marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> ▪ <i>SPWMFO does not jointly market.</i>
What we do	
How does SPWMFO protect my personal information?	<p>To protect your personal information from unauthorized access and use. We use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.</p>
How does SPWMFO collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> ▪ Seek financial or tax advice ▪ Open an account ▪ Give us your income information ▪ Provide account information ▪ Give us your contact information
Sharing of Mobile Information	<p>We do not share any mobile information with third-parties other than the affiliate(s) stated above therefore, no mobile information will be shared for marketing/promotional purposes.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> ▪ sharing for affiliates' everyday business purposes—information about your creditworthiness ▪ affiliates from using your information to market to you ▪ sharing for nonaffiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>